

For Six Month Period Ending **1 FEB 1997**  
(Insert date)

**I - REGISTRANT**

1. (a) Name of Registrant

(b) Registration No. 3327

Korea Economic Institute of America

(c) Business Address(es) of Registrant

1101 Vermont Avenue, NW, Suite 401  
Washington, DC 20005

2. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

(b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

(c) Explain fully all changes, if any, indicated in items (a) and (b) above.

None

**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).**

3. If you have previously filed Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period.

Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐

If no, please attach the required amendment.

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U.S. DEPT. OF JUSTICE  
CRIMINAL DIVISION  
INTERNAL SECURITY SECTION

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (a waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

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4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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- (b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal? Yes ☐ No ☒

If yes, identify each such person and describe his service.

- (b) Have any employee or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or connection	Date terminated
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- (c) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement? Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

**II - FOREIGN PRINCIPAL**

7. Has your connection with any foreign principal ended during this 6 month reporting period?  
 Yes ☐ No ☒

If yes, furnish the following information:

*Name of foreign principal*

*Date of termination*

8. Have you acquired any new foreign principal<sup>2</sup> during this 6 month reporting period?  
 Yes ☐ No ☒

If yes, furnish following information:

*Name and address of foreign principal*

*Date acquired*

9. In addition to those named in Items 7 and 8, if any, list foreign principals<sup>2</sup> whom you continued to represent during the 6 month reporting period.

Korea Institute for International Economic Policy (KIEP)

10. **EXHIBITS A AND B**

- (a) Have you filed for each of the newly acquired foreign principals in Item 8 the following:

Exhibit A <sup>3</sup>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Exhibit B <sup>4</sup>	Yes <input type="checkbox"/>	No <input type="checkbox"/>

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☐

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

### III - ACTIVITIES

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11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

Korea Institute for International Economic Policy (KIEP)  
Please See Attachments.

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>5</sup> as defined below?

Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places, of delivery, names of speakers and subject matter.

Please see Attachments.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

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<sup>5</sup> The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

**IV - FINANCIAL INFORMATION****14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies<sup>6</sup>

Date	From Whom	Purpose	Amount
9/30/96	KIEP	per contract	\$220,753
1/1/97	KIEP	per contract	\$220,753

\$441,506

Total

**(b) RECEIPTS - FUND RAISING CAMPAIGN**

During this 6 month reporting period, have you received, as part of a fund raising campaign<sup>7</sup>, any money on behalf of any foreign principal named in items 7, 8, and 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_.

**(c) RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, have you received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
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<sup>6, 7</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

<sup>9</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

**15. (a) DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign named in Items 7, 8, and 9 of this statement? Yes ☐ No ☒

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
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Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, and 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
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**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐No ☒

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
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<sup>10, 11</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

**V - INFORMATIONAL MATERIALS**

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials<sup>12</sup>?  
 Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

Korea Institute for International Economic Policy (KIEP)

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials?  
 Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- |   |   |   |  |
|---|---|---|--|
| <input type="checkbox"/> Radio or TV broadcasts | <input type="checkbox"/> Magazine or newspaper articles | <input type="checkbox"/> Motion picture films                       | <input type="checkbox"/> Letters or telegrams            |
| <input type="checkbox"/> Advertising campaigns  | <input type="checkbox"/> Press releases                 | <input checked="" type="checkbox"/> Pamphlets or other publications | <input checked="" type="checkbox"/> Lectures or speeches |
| <input type="checkbox"/> Other (specify) _____  |   |   |  |

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- |   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Public Officials    | <input checked="" type="checkbox"/> Newspapers                   | <input checked="" type="checkbox"/> Libraries                |
| <input type="checkbox"/> Legislators                    | <input type="checkbox"/> Editors                                 | <input checked="" type="checkbox"/> Educational institutions |
| <input checked="" type="checkbox"/> Government agencies | <input checked="" type="checkbox"/> Civic groups or associations | <input type="checkbox"/> Nationality groups                  |
| <input type="checkbox"/> Other (specify) _____          |  |  |

21. What language was used in the informational materials:

- ☒ English ☐ Other (specify) \_\_\_\_\_

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes ☒ No ☐

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4 (b) of the Act.



**VI-EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Type or print name under each signature<sup>13</sup>)Feb. 26, 1997Florence Lowe-LeeFlorence Lowe-Lee26 February 1997My Commission Expires  
February 14, 1998Bonne Tang  
Notary, District of Columbia

<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Dir. of Finance / Treasurer  
Title

**Contacts made by Jin Song, Director of Congressional Affairs  
August 1, 1996 to January 31, 1997**

**L: Lunch meeting**  
**M: Meetings in general**  
**C: Conferences or seminars**  
**B: Breakfast meeting**

**August**

- 7: L- Scott Snyder, U.S. Institute of Peace. Discussed USIP projects on Korea; Korea politics.
- 12: L- Lisa Lee, staff on Senate Finance Committee. Discussed Committee's plans for trade policy.
- 21: L- Wayne Morrison, CRS. Discussed China MFN issues, Korea-U.S. trade relations.
- 27: L-Byoung-Joo Kim, Korea International Trade Association. Discussed Korea-U.S. policy issues.

**September**

- 3: M- White House press briefing on APEC policy.
- 12: L- Danielle Dilling, KOTRA. Discussed Korea-U.S. business interests, problems.
- 17: L- Katherine Friess, Senate Finance Committee. Discussed Finance Committee's upcoming projects; Friess' interest in Korea-U.S. policy.
- 19: B- AEI sponsored a program on upcoming presidential elections.
- 24: L- Wayne Morrison, CRS. Export promotion trends in the Asia-Pacific region.
- 25: B- AEI sponsored a discussion on trade and the 1996 elections.

**October**

- 1: L- Bonnie Coe, staff of Senate Foreign Relations Committee. Committee's plans for Asia hearings, Korea-U.S. relations.
- 2: M- ESI held a noon discussion on the auto industry at the National Press Club.
- 4: L- Erin Pham, TIA, to discuss U.S. industry position on the bilateral telecoms negotiations between U.S. and Korea.
- 10: M- ESI held noon discussion on APEC with Amb. Bill Bodde.
- 15: L- Maureen Grewe, U.S. Treasury, to discuss APEC process, Korea's role, offers on table, etc.
- 21: L- Bill Morley and Kraig Siracuse, to discuss Korea and Asia policy on the Hill.
- 24: M- ABAC press conference at the National Press Club.
- 25: L- Meet cong staff to discuss upcoming Korea trip.

## **November**

- 1:** L- WIIT sponsored lunch with Ambassador for APEC Affairs John Wolf.
- 6:** B- Jeff Lang of USTR discussed telecommunications negotiations at the WTO.
- 26:** Wilke Green and Bonnie Coe, Senate Foreign Relations, to discuss Korea-U.S. relations.

## **December**

- 5:** B- AEI, Shape of the 105th Congress discussion panel.  
L- Atonte Diete-Spiff, Cong. Jefferson, to discuss trade issues.
- 12:** Competitiveness Policy Council holds half day session on competition policy issues.
- 19:** L- Derek Schmidt, staff of Sen. Kassebaum, to discuss future U.S. trade policy in Asia.

## **January**

- 8:** B- WITA sponsored discussion on Trade Compliance Center, with Doug Olin.
- 14:** L- Steptoe and Johnson, program on competition policy and Mexican tomatoes.
- 22:** L- Chris Donat, White and Case, to discuss legislative affairs on trade.
- 24:** L- Scott Snyder, U.S. Institute of Peace, to discuss North Korea issues.
- 27:** M- Woodrow Wilson Center holds discussion on U.S. China policy.
- 29:** L- DC Bar Section holds discussion on U.S. trade policy toward Asia.

**CONTACTS MADE BY L. GORDON FLAKE,  
DIRECTOR FOR RESEARCH AND ACADEMIC AFFAIRS,  
KOREA ECONOMIC INSTITUTE OF AMERICA**

August 1, 1996 - January 31, 1997

Meeting Code Key: M - meeting; B - breakfast; L - lunch; D - dinner

All events are in Washington, D.C. metropolitan area unless otherwise noted.

**August 1996**

08/01 M-Heritage Foundation/ RINU fellow, discussion of DPRK

08/06 Interview with Voice of America regarding DPRK

08/08 L-Ken Quinones, State Department, discussion of DPRK

08/09 L-Tom Byrne, Institute of International Finance, discussion of DPRK

08/16-

08/22 Participation in Korea Economic Daily conference in Seoul Korea. Presented a paper on the DPRK economy

08/23 L-Mr. Mizuno, Asahi Newspaper, Tokyo, discussion of DPRK/ ROK issues

08/27 L-John Merrill, State Department, discussion of DPRK

**September 1996**

09/09-

09/18 Travel to DPRK via Japan to participate in Rajin-Sonbong International Trade and Investment Forum

09/26 Lecture at Brigham Young University, Provo Utah on the DPRK economy

## **October 1996**

- 10/02 “DPRK Roundtable luncheon discussion” Presentation on Rajin-Sonbong trip before group of DPRK experts at a meeting organized
- 10/03 M--Asia Society Luncheon at the Carnegie Endowment, Speech by Chuck Kartman, State Department
- 10/07 L--Steven Linton, Eugene Bell Centennial Foundation, discussion of DPRK
- 10/08 M--Addressed a “Congressional Roundtable Luncheon” organized by KEI. Spoke on the DPRK economy, Rajin-Sonbong Forum.
- 10/15 L--Park, Syng-Je, Korean International Development Institute, discussion of DPRK
- 10/16 L--Farooq Achikizad, former UNDP representative in North Korea, discussion of DPRK
- 10/17 M--Carter Eckert, Woodrow Wilson Center, Discussion of 1998 academic symposium plans for Harvard University
- 10/17 M--Defense Intelligence Agency, North Korea Specialists meeting, discussion of DPRK
- 10/30 M--Harvard University, Ezra Vogel, Discussion of 1998 Symposium plans
- 10/31 L--Heather Smith, Australian National University, currently at Brookings Institution, discussion of DPRK

## **November 1996**

- 11/07 M--KEI Advisory Council Meeting, Dinner at residence of ROK Ambassador Park, Kun-Woo
- 11/08 M--CSIS Forum on North Korea, organized by Jim Kelly, CSIS-Pacific Forum
- 11/12 M--Hudson Institution conference in the Capitol
- 11/14 L--Lee, Jae-Ho, Tong-A Newspaper, Seoul, discussion of DPRK
- 11/20 L--Sven Arndt, Claremont College, discussion of 1996 Academic symposium at the University of Southern California.
- 11/21 M--Jonathan Tombes, AEI, discussion of DPRK
- 11/21 L--Robert Tompkin, Congressional Quarterly, discussion of DPRK

11/26 L--Tom Byrne, Institute of International Finance, discussion of DPRK

## **December 1996**

12/02 L--Mark McIntyre & Brian Barna, CIA, discussion of DPRK

12/04 L--Marcus Noland, institute of International Finance, discussion of DPRK

## **January 1997**

01/06 L--Ken Quinones, State Department, INR, discussion of DPRK

01/10 L--David Kim (Korea Society), Victor Cha (Georgetown), Scott Snyder (USIP), discussion of DPRK and possible conference at Georgetown.

01/14 L--Lee, Jae-Ho, Tong-A newspaper, discussion of DPRK

01/15 L--Heather Smith, Brookings institution, discussion of DPRK agriculture

01/21 L--Attended Luncheon on Korea hosted by Center for National Policy

01/22 L--Tony Namkung, Atlantic Council, discussion of DPRK

01/23 L--Marcus Noland, IIE, discussion of DPRK

01/27 L--Ken Quinones, State Department, INR, discussion of DPRK

01/31 L--Yoon, Young-Kwan, Professor, Seoul National University, discussion of DPRK

## DEPARTMENT OF JUSTICE REPORT

Florence Myeong-Hwa Lowe  
Director of Financial Affairs/Treasurer & Director of Publications

**Reporting Period August 1, 1996–January 31, 1997**

- |                  |    |   |
|------------------|----|---|
| <b>August</b>    | 7  | Lunch with Chip Cunningham from Paul, Wise & Wifkind to discuss Korea-U.S. bilateral trade relations.   |
|                  | 13 | Lunch with Eric Nicoll from Congressman Ewing (R-IL) to discuss Agricultural issues.  |
|                  | 14 | Lunch with Mr. Yoon Young Lee from the Korean Embassy.  |
| <b>September</b> | 12 | Lunch with Mr. Zhin, Woong Sub from Ministry of Finance and Economy to discuss details on Deputy Prime Minister's publications and distribution list. |
|                  | 16 | Attended American Enterprise Institute seminar on Living Standards in the U.S.: A Different Perspective by Daniel Slesnick.                           |
|                  | 18 | Attended seminar at Economic Strategy Institute.  |
|                  | 20 | Lunch with Dr. Lee, Young Sae from Korea Institute for Industrial Economics & Trade (KIET) discuss possible collaboration between KEI and KIET.       |
|                  | 25 | Breakfast at Asia Society Program.  |
|                  | 30 | Reception sponsored by Korea Development Bank, IMF & The World Bank.  |
| <b>October</b>   | 2  | Seminar by Acting USTR Charlene Barshefsky sponsored by Women in Foreign Policy Group.  |
|                  | 3  | Lunch program sponsored by the Korea Society and KEI; Korea Desk Officer Charles Katman shared his views on Korea-U.S. relations.                     |
|                  | 4  | Lunch with David Kim from the Korea Society to discuss on going Korea issues.   |



<b>November</b>	7	Attended KEI Advisory Council meeting at CSIS and dinner party at the Ambassador, Park, Kun Woo's residence.
	7	Lunch seminar at National Economist Club and the topic was Aging Gray in the United States.
	14	Working lunch meeting at the Trade & Environment Council to discuss WTO and global and trade environment issues.
	20	Breakfast seminar at American Enterprise Institute on Global Changes.
	20	Lunch meeting with Kenneth Ewing from Steptoe and Johnson to discuss WTO and trade and environment.
	21	A breakfast seminar at American Enterprise Institute on Public Attitudes and economic Inequality.
<b>December</b>	5	Attended evening open house at KIET.
	10	KEI Christmas Lunch.
	13	Attended Minister Chung, Eui Young, Minister of Economic at the Embassy of the Republic of Korea, Christmas party.
	15	Attended W. Robert Warne, President of KEI, Christmas party.
<b>January</b>	27	Lunch with Nam, Kyoung Woo and Dr. Song Ha Sung from the Korean Embassy to discuss possible collaboration on programs with KEI.
	30	Lunch seminar on Selling in Japan by David Baskerville sponsored by Economic Strategy Institute.

DEPARTMENT OF JUSTICE REPORT

KOREA ECONOMIC INSTITUTE OF AMERICA  
CONTACTS MADE BY R. BEN WEBER, DIRECTOR OF PUBLIC AFFAIRS  
August 31, 1996-January 31, 1997

September

No relevent meetings

October

- 3 M-Met with David Kim of the Korea Society about Charles Kartman Luncheon
- 15 M-Met with Judith Nees of the WAC of Pittsburgh to discuss the Korea Caravan

November

- 7 M-Attended KEI Advisory Council meeting  
D-Attend dinner at Amb. Park Kun Woo house
- 16 C-Spoke at the WAC National Conference
- 20 M-Met with various officals from the World Trade Center in Raleigh, N.C.

December

- 12 L-Lunch with Richard Weir of KOTRA. Discussed various trade barriers on U.S. products into Korea.
- 13 D-Had dinner wth Minister Chung, Eui-yong at his residence.
- 14 L-Luch with Mike Varcaro of the Korea International Trade Association.
- 19 L-Lunch wih Derrick Schmidt of Sen. Kassabaum office. Discussed current situaion on the Hill.

January

- 8 B-Breakfast with Mr. Im of KITA and attend breakfast at Chamber of Commerce with Sen. Trent Lott.  
  
L-Met with Jerry Lundergan of the Inaguaration Committee.  
  
M-Dr. Song of the KFTC at the ROK Embassy

- 9 M-Met with Amb. Don Gregg of the Korea Society, along with Susan Heinz of the Asia Society, and Kook Hyun Chang of the Federation of Korean Industries.
- 15 M-Discussed possible meeting at Georgetown university with Chairman Kim, In-Ho of the KFTC.
- 27 C-Attend the Woodrow Wilson Center conference on the Russian Ruble.
- 29 L-Met with Mark Kroneburg of McDonnell Douglas. Discussed areas of cooperation.
- 30 M-Met with Amb. Park, Kun Woo. Discussed Caravan.
- M-Meeting of the Young Professionals Association.

Code Key: **M** - Meeting; **C** - Conference; **B** - Breakfast; **L** - Lunch; **D** - Dinner; **R** -Reception

# **Korea Economic Institute of America**

**Joseph A.B. Winder**

**Vice President**

**Contacts: August 1, 1996 - January 31, 1997**

Code: M - meeting; B - breakfast; L - lunch; D - dinner

## **August**

- 1 M - Meeting with Congressman Bill Richardson with visiting Korean National Assembly delegation
- 2 M - Meeting with State Department Director for Asian Regional and Security Policy Affairs Ernestine Heck
- 2 L - Lunch with State Department Deputy Director for Korean Affairs David Straub
- 5 L - Lunch with Treasury Director for Asian and Near East Nations Todd Crawford
- 6 M - Meeting with David Hauck, Staubach and Co.
- 6 L - Lunch with Lionel Olmer, attorney with Paul, Weiss, Rifkind, Wharton, and Garrison
- 8 M - Meeting with Erin Pham, Telecommunications Industry Association
- 8 L - Lunch with Korean Embassy officials
- 8 M - Dinner roundtable discussion on Korean trade policy, Institute for International Economics
- 9 L - Lunch with Chinese Embassy Minister Ni
- 19 L - Lunch with State Department Deputy Director for Korean Affairs Ann Kambara
- 20 L - Lunch with State Department Director for Japan Affairs Bob Reis
- 21 M - Meeting with State Department Director for Korean Affairs Mark Minton

- 21 M - Meeting with State Department Deputy Director for China Affairs Pam Slutz
- 21 M - Meeting with Korean Embassy Economic Minister Chung
- 23 L - Lunch with American Enterprise Institute scholar James Lilley
- 23 M - Meeting with National Security Council Senior Advisor for Asia Sandra Kristoff
- 27 M - Meeting at U.S. Council for International Business
- 27 L - Lunch with Sumitomo Corporation Senior Vice President Koh Sera
- 28 B - Breakfast with Korean Ministry of Finance Official Zhin, Woong-Seob
- 28 M - Meeting with C&M International President Doral Cooper
- 28-31 M - Academic Symposium at the University of Michigan, Ann Arbor, Mich.

## **September**

- 3 M - Luncheon roundtable discussion hosted by KEI
- 3 M - Meeting with C&M International President Doral Cooper
- 4 L - National Press Club luncheon
- 12 M - Luncheon, Japan -American Society of Washington
- 13 L - Lunch with Japanese Embassy Minister Takeuchi
- 17 M - Luncheon roundtable discussion on Korea, the Korea Society
- 17 M - Panel discussion sponsored by the Asia Society
- 18 L - Lunch with U.S.-Korea Business Council President Nick Van Nelson
- 18 M - Lecture on China at the Johns Hopkins School for Advanced International Studies
- 19 L - Lunch with Korean Embassy Ministry of Finance officials
- 20 L - Lunch with Piper Pacific International Consultant Steve Piper
- 20 D - Dinner hosted by KEI

- 23 M - Meeting with Korea International Trade Association Washington Office President Sang-Jik Lee and staff
- 24 M - Meeting with Bank of Korea Washington Representative Keun-Yung Lee
- 25 B - Breakfast roundtable discussion sponsored by the Asia Society
- 25 L - Lunch with Korean Embassy Information Office Deputy Director David Fitzgerald
- 25 M - Meeting with Johns Hopkins School of Advanced International Studies professor Nathaniel Thayer
- 27 M - Seminar on Korea sponsored by the Center for Strategic and International Studies
- 30 M - National Press Club luncheon
- 30 M - Meeting with State Department Office of Korean Affairs official Ed Sagurton
- 30 M - Meeting with State Department Office of Korean Affairs Director Mark Minton
- 30 D - Reception sponsored by the Korean Development Bank

## **October**

- 1 M - European-American Chamber of Commerce luncheon
- 2 M - Chamber of Commerce breakfast
- 2 M - Luncheon roundtable discussion on North Korea hosted by KEI
- 2 M - Dinner roundtable discussion hosted by the Institute for International Economics
- 3 D - Korean Embassy reception
- 4 M - KEI Board of Directors Meeting
- 4 M - Meeting with State Department Director for Bilateral Trade Affairs Robin White
- 8 M - Meeting with State Department Korean Analyst Bob Carlin
- 8 M - Meeting with State Department Deputy Director for Korean Affairs Dave Straub
- 8 M - Roundtable discussion on Japan at the State Department

- 8 M - NPC luncheon
- 9 M - Meeting with Commerce Department Deputy Assistant Secretary Daniel McLaughlin
- 10 M - CSIS breakfast roundtable on Korea
- 15 M - Meeting with Judith Nees, Director World Affairs Council of Pittsburgh, Ted Arnn, (Pittsburgh, Director Export Assistance Center, Pittsburgh, Ming Zhang Program Manager, PA) World Trade Center, Pittsburgh
- 16 M - Asia Society breakfast meeting
- 18 M - Meeting with Institute of International Finance economist Tom Bryne
- 22 M - National Press Club luncheon
- 24 M - Meeting with Korea Institute for Industrial Economics and Trade Washington Representative Young Sae Lee
- 25 D - Dinner at Indonesian Embassy
- 30 M - Meetings with Mark Lissfelt, Executive Director World Affairs Council of Boston, (Boston, Kathleen Molony, Director for Asia, Commonwealth of Massachusetts, Tae-Hyeong MA) Kim, Director General Boston office Korean Trade Promotion Center, Dwight Perkins, Ezra Vogel, Heather Campion, and Holly Sargent, Harvard University, Korean Consul General Park Shinil, and Mark Edington, Executive Director Boston Committee on Foreign Relations.

## **November**

- 4 L - Lunch with Information Industry Technology Council President Rhett Dawson
- 4 M - Lecture on Japan at the Johns Hopkins School for Advanced International Studies
- 6 M - Asia Society Breakfast
- 7 M - Meeting with University of Nevada at Reno professor Thomas Cargill
- 7 M - KEI Advisory Council meeting
- 8 M - Luncheon discussion on Japan at the Economic Strategy Institute
- 8 D - Dinner at the Indonesian Embassy

- 12 M - Luncheon roundtable on trade policy sponsored by the Institute for International Economics
- 13 M - Conference on anti trust policy at Georgetown University
- 14 L - Lunch with Japan Center for International Finance Washington Representative Tsukagoshi
- 15 M - International Council on Korean Studies conference
- 15 D - Dinner at Korean Embassy
- 18 M - Meeting with USIA official Carol Sparhawk
- 19 M - Meeting with Raymond Farrow, Managing Director, Raleigh World Trade Center, (Raleigh, Carolyn Lantz, Executive Director International Visitors Council, Raleigh, Dennis NC) Rondinelli, Kenan Center, Chapel Hill, Leonard Bull, Associate Provost, North Carolina State University, Donna Shuford, Trade Specialist, North Carolina Chamber of Commerce, Rodney Carson, Director of International Development, Wake County, Siduk Lee, Research Park EPA.
- 19 L - Lunch with Nan Lin and Arie Lewin, Duke University, Durham, NC
- 21 M - Lecture at the International Trade Commission by Professor Gary Hufbauer
- 26 M - Meeting and lunch with Carlos Kearns, Director New Jersey Department of (Trenton, Commerce and Economic Development NJ)

## **December**

- 2 L - Lunch with State Department Deputy Director for Asian Economic Affairs Marc Wall
- 3 D - Reception at Japanese Embassy
- 4 L - Lunch hosted by Korean Economic Minister Chung
- 4 M - Washington World Affairs Council lecture
- 5 M - Economic Strategy Institute lecture
- 5 D - Reception hosted by the Korean Institute of Industrial Economics and Trade



- 6 M - Korea Society Breakfast meeting
- 6 L - Lunch with Treasury Department Korean expert Maureen Grew
- 6 M - Meeting with State Department bilateral trade affairs official Mary Jo Wills
- 9 M - Japan Information Access Project luncheon meeting
- 9 M - American Enterprise Institute dinner meeting
- 11 L - Luncheon roundtable discussion hosted by KEI
- 11 D - Dinner with Hyundai America President Young-Duk Kim in New York
- 12 M - Conference in New York sponsored by KEI
- 12 L - Lunch with Korea Society President Donald Gregg in New York
- 13 D - Dinner at residence of Korean Economic Minister Chung
- 16 M - Japan Information Access Project luncheon meeting
- 16 M - IMF discussion forum
- 17 M - Meeting with Pusan Daily News journalist Jong Hwan Kim

## **January**

- 2 L - Lunch with State Department Director for Korean Affairs Mark Minton
- 6 M - KEI Board of Directors meeting
- 8 L - Lunch with Center for Strategic International Studies Japan Chair Bill Breer
- 9 M - Meeting with Suzan Heinz and Judi Kilichand, Asia Society, New York
- 9 L - Lunch with Federation of Korean Industries New York Executive Director Kook-Hyun Chang in New York
- 9 M - Meeting with Sunkyoung America Vice Chairman Young Man Kim in New York
- 9 M - Meeting with Korea Trade-Investment Promotion Agency America Regional President Kui-Lae Chung in New York

- 15 L - Lunch with State Department Deputy Director for Korea Ann Kambara
- 15 M - Meeting with Korean Embassy Economic Minister Chung
- 21 M - Korea Society luncheon meeting
- 22 M - Meeting with Korean Embassy Fair Trade Attache Song
- 28 M - Meeting with Defense Department Korean analyst Rick Finn
- 29 M - Asia Society breakfast meeting
- 30 M - Meeting with Korean Ambassador Park
- 31 M - Meeting with Seoul National University professor Sang-Myon Rhee

**CONTACTS MADE BY W. ROBERT WARNE, PRESIDENT  
KOREA ECONOMIC INSTITUTE OF AMERICA  
August 1996—January 1997**

**Meeting Code Key:**

M	-	meeting
B	-	breakfast
L	-	lunch

**Organization Code Key**

AEI	-	American Enterprise Institute
CEA	-	Council of Economic Advisors
CSIS	-	Center for Strategic & International Studies
DOC	-	Department of Commerce
DOD	-	Department of Defense
DOE	-	Department of Energy
DOS	-	Department of State
DOTr	-	Department of Treasury
ESI	-	Economic Strategy Institute
IIE	-	Institute for International Economics
KEI	-	Korea Economic Institute of America
KIEP	-	Korea Institute for International Policy
KS	-	Korea Society
NEC	-	National Economist Club
NPC	-	National Press Club
NSC	-	National Security Council
PBEC	-	Pacific Basin Economic Council
SAIS	-	School of Advance International Studies
TAS	-	The Asia Society
USTR	-	U.S. Trade Representative
WAC	-	World Affairs Council

**August 1996**

- 5     Todd Crawford DOTr- L -financial services  
       Bill Craft DOS- M -bilateral issues
  
- 6     Lionel Olmer- L - bilateral issues  
       Amb. Cleveland- M -US-ROK relations  
       Rick Ruzicka USTR- M -telecom
  
- 7     Lunch at the Rotary

- 8 Lunch hosted by the US Chamber of Commerce  
Michael Matera DOS- M -US-Japan relations  
Evening program hosted by IIE
- 9 Minister Ni Embassy of China- L -US-China relations
- 12-17 COPE Conference held in South Africa
- 21 Amb. Boddie- L  
Julia Fuller- M -US-Russia relations  
Minister Chung- M -KEI activities
- 22 Luncheon hosted by the Rotary Club  
Michael Owens DOS- M -bilateral issues
- 23 Dr. Yoon Young Oh Yoido Institute- M -KEI activities  
Todd Crawford DOTr- M -OECD matters  
Ann Kambara DOS- L -US-ROK relations  
Sandy Kristoff NSC- M -APEC  
Sean Donnelly DOS- M -trade issues  
Hank Levine DOS- M -US-China relations  
Patricia Haigh DOS- M -OECD matters
- 26 Amb. Leonard DOS- M -Mexican affairs
- 27 Catherine Mann BG- L -OECD matters  
Todd Crawford DOTr- M -OECD matters

## **September 1996**

- 3 KEDO luncheon hosted by KEI  
APEC Briefing at the White House Conference Center
- 4 Colin Helmer DOS- L -OECD matters  
Ernestine Heck DOS- M -policy planing  
David Staub DOS- M -US-ROK issues
- 5 Breakfast hosted by the Chamber of Commerce with guest speaker Ed Gocas  
Luncheon hosted by the Rotary Club  
Larry Butcher DOS- M -bilateral issues
- 6 Amb. Gleysteen- L

- 11 Vladimir Rakhmanin Russian Emb.- B -US-Russian issues  
Nick Van Nelson US Business Council- L -Upcoming conference  
Jack Pritchard NSC- M -APEC  
Amb. Wolf DOS- M -APEC
- 12 John Herbst DOS- M  
Luncheon hosted by the NEC with Peter J. Quirk  
Marie Huhtala DOS- M -Vietnam
- 13 Maureen Grewe DOTr- M -OECD matters  
Jim Fall DOTr- M -trade negotiations  
Minister Takeuchi Japan Embassy- L -US-Japan relations  
Bob Reis DOS- M -US-Japan relations
- 16 Bill Gleysteen- L  
Robin White DOS- M -bilateral issues  
Dan Fantozzi DOS- M Aviation issues
- 17 Luncheon hosted by NEC with Paul A. London  
Tim Duleney DOTr- M -investment  
Evening program at the Asia Society
- 18 Luncheon at the Rotary Club  
Todd Harvey DOD- M -security issues
- 19 Counselor Lee ROK Embassy- KEI activities  
Bill Craft DOS- M -bilateral issues
- 20 Jack Andre DOS- M -APEC  
Ernestine Heck DOS- M -bilateral issues  
Ann Kambara DOS- L -US-ROK relations
- 23 Bob Carlin DOS- M -bilateral issues  
Dennis Lamb- L -OECD activities  
Colin Helmer DOS- M -OECD activities  
Diana Helweg DOS- M -Asia-U.S. relations
- 24 Luncheon at NPC with Laura Tyson  
Amb. Brown DOS- M -Mexican affairs  
Bill Weingertan OECD- M -OECD matters
- 25 Breakfast at the Asia Society with Crane and Rangel  
Luncheon at the Rotary Club

- 27     Chuck Pritcher NSC- M -security affairs  
         Luncheon hosted by IIE  
         Colin Helmer DOS- M -OECD matters
  
- 30     Luncheon hosted by the US Chamber of Commerce  
         James Foster DOS- M -EUR matters  
         Mark minton DOS- M -ROK-US relations  
         Hank Levine DOS- M -China-US relations

**October 1996**

- 1       Michael Matera DOS- M -US-Japan relations  
         Larry Butcher DOS- M -bilateral issues  
         Luncheon hosted by NEC with Carmen Reinhart  
         Mike Gelner DOS- M -China political issues
  
- 3       Don Phillips USTR- M -Asia  
         Mickey Kantor luncheon
  
- 4       Annual DACOR Conference
  
- 7       AEI breakfast  
         Jack Andre DOS- M -APEC  
         Bill Weingarten DOS- L -OECD matters  
         Jim Foster DOS- M -EUR matters  
         Nancy Linn Patton DOC- M -trade issues
  
- 8       Luncheon at NEC with Alfred Broaddus  
         Bill Ramsey - M - bilateral issues
  
- 9       Breakfast hosted by WITA :Japanese Trade and the U.S.?"  
         Luncheon at the Rotary
  
- 10      Minister Ni Chinese Embassy- L -US-China relations  
         Joe Eichenberger DOTr- M -financial issues  
         Reception at the Chinese Embassy
  
- 11      Maureen Grewe DOTr- M -OECD matters  
         Luncheon hosted by NEC  
         Tom Byrne IIF- M -KEI activities  
         Diana Helweg DOS- M -OECD matters

- 15 NPC Newsmaker  
Reception- British-American Business  
Dr. Hur - M -KEI activities  
Bill Craft DOS- M -bilateral issues
- 16 Breakfast hosted by the Asia Society "APEC"  
Luncheon hosted by NEC with Stiglitz  
Sean Donnelly DOS- M -trade issues
- 17 NPC Morning Newsmaker  
Carter Eckert- M -KEI activities  
Luncheon hosted by AEI  
Robert Suttinger NSC- M -security issues  
Bob Reis DOS- M -US-Japan issues
- 18 Chris Jackson (Hong Kong)- L -recent developments in Hong Kong  
Tom Byrne IIF- M -recent activities
- 21 Gregory Logvinov Russian Embassy- L -recent developments in Russia  
Todd Crawford DOTr- M -OECD matters
- 22 Breakfast held at the Chamber of Commerce  
Ann Kambara DOS- L -US-ROK relations
- 23 Luncheon at the Rotary Club
- 24 Rick Ruzicka USTR- M -trade issues
- 28 Robert Reis DOS- M -US-Japan relations  
Diana Helweg DOS- M -OECD matters
- 29 Senate Dirksen Administrative Briefing for Congressional Staff
- 30 Heritage Foundation  
William T. Breer - L - KEI activities  
WWC "Strategic and Military Implications of H.K. Reversion"
- 31 NPC Morning Newsmaker  
Michael Owens DOS- M -bilateral issues  
Minister Chung ROK Embassy- L -KEI activities  
Julia Fuller DOS- M -Russian Affairs

## November 1996

- 1 Jerry Leach- L -KEI activities  
Ernestine Heck DOS- M -trade affairs  
WWC "From People's War to People's Rules: Lessons from Vietnam"
- 4 Julia Fuller DOS- M -Russian Affairs  
Dick Nelson WTC- L -KEI activities  
Mark Gerchick DOT- M -open skies  
WWC lecture with Timothy Lomperis  
SAIS-Japan Forum
- 5 Gergon DOS- M -East Asia Pacific  
Minister Teng Embassy of Taiwan- L -Recent developments in Taiwan
- 6 Breakfast hosted by the Asia Society "WTO"  
Catherine Mann BOG- L -OECD  
Daniel Fantozzi DOS- M -aviation issues
- 7 NPC Morning Newsmaker "UN Reform"  
Todd Harvey DOD- M -security issues  
AEI "1996 Election Watch"  
Julia Fuller DOS- M -Russian affairs  
Fall KEI Advisory Council meeting
- 8 Pacific Forum CSIS "Monitoring the Agreed Framework"  
Kyle Peters WB- L -Recent developments in Russia
- 11-12 KUSEC Conference in Birmingham
- 13 Upcoming APEC & WTO meeting  
PCW's Post Election Pundits Panel  
Wesley Scholtz DOTr- M -investment affairs  
Robin White DOS- M -bilateral issues
- 14 WITA Breakfast "The Transatlantic Dialogue"  
Judy Sloan AS- M -upcoming event  
Mr. Joo ROK Embassy- L -KEI activities  
Michael Matera DOS- M -US-Japan relations  
Christopher Webster - M -KEI activities
- 15-16 ICKS Conference



- 25 Daniel Fantozzi DOS- L -aviation issues  
Al Wilham- M -KEI activities  
Lawrence Ferrar DOS- M -EUR activities
- 26 Nick Van Nelson US-ROK Business Council- B -KEI activities  
Catherine Mann BG- L -recent developments  
Bill Craft DOS- M -bilateral issues  
Mark Minton DOS- M -ROK-US issues  
Hank Levine DOS- M -China-US relations
- 27 Luncheon at the Rotary Club

**December 1996**

- 2 Chuck Kartman DOS- M -recent developments in Asia  
Bob Reis DOS- L -US-Japan relations  
Bob Carlin DOS- M -trade issues  
Minister Chung ROK Embassy- M -KEI activities  
Amb. Wolf DOS- M -APEC  
“APEC Summit” hosted by the Philippine Embassy
- 3 Gregory Logvinov Russian Embassy- L -US-Russia relations  
Amb. Leonard  
Reception at the Embassy of Japan
- 4 Ann Kambara DOS- L -US-ROK relations  
Colin Helmer DOS- OECD matters
- 5 Breakfast at the Int’l Forum at the US Chamber of Commerce  
George Mason Program “WTO”
- 6 Ernestine Heck DOS- L  
Marie Huhtala DOS- M -Vietnam matters  
Rick Ruzicka USTR- M trade relations  
AEI program with Dr. Su Chi
- 9 JIAP program “APEC”
- 10 Program at the Asia Society “US-South Asia Relations”
- 11 World Trade Forum with Sandy Kristoff  
Mark Minton luncheon

- 12 Asia Society Program in New York  
Korea Information Center "Korea Today"
- 13 AFSA with Tim Wirth  
Diana Helweg DOS- M
- 16 NPC luncheon with Mark Gearan
- 17 NPC Morning Newsmaker with Prime Minister of Lebanon  
Sandy Kristoff NSC- M -APEC  
Minister Takeuchi Japan Embassy- M -US-Japan relations
- 18 Asia Society "India"  
Bill Craft DOS- M -bilateral issues  
Todd Crawford DOTr- L financial issues
- 19 Breakfast hosted by WITA  
Tim Delaney DOTr- M -investment affairs
- 20 Carl Peters WB- L -Recent developments in South East Asia

#### **January 1996**

- 2 Minister Jackson Hong Kong- M -recent developments in Hong Kong  
Robert Carlin DOS- M -bilateral issues  
James Foster DOS- EUR matters
- 3 David Bank- L Foreign Service Club  
Julia Fuller DOS- M -Russian affairs
- 6 NPC Newsmaker with Richard Hass  
Bob Cassidy USTR- review of recent developments  
Sean Donnelly DOS- M -trade issues
- 7 Sandy Kristoff NSC- WTO  
Luncheon at the Rotary Club
- 24 Colin Helmer DOS- M -OECD matters  
Ann Kambara DOS- L -US-ROK relations  
Kurt Campbell DOD- M -security issues
- 27 Minister Jackson Hong Kong office- L -recent developments at KEI  
Minister Chung Embassy of ROK- M -KEI activities

Dan Fantozzi DOS- M -aviation matters

- 28 Michael Matera NSC- M -recent activities  
Todd Crawford DOTr- L -recent activities  
Bob Reis DOS- M -Japan-US relations
- 29 Asia Society Breakfast "US-China Relations"  
Chuck Kartman DOS- M -KEI activities  
Mark Minton DOS- L -US-ROK relations  
Hank Levine DOS- China-US relations
- 30 Bill Primosch DOS- EUR affairs  
Don Phillips USTR- M -policy issues  
Amb. Park ROK Embassy- M -Caravan issues
- 31 AEI luncheon "How risky is the stock market?"  
Julia Fuller DOS- M -Russian affairs  
John Herbst DOTr- M -investment issues

**Department of Justice Report**  
**Tracy Y. Cho**  
**Executive Assistant**  
**August 1996-January 1997**

August

N/A

September

N/A

October

N/A

November

N/A

December

N/A

January

N/A